**UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

ISPH-0524

Total Pages in this Submission

### TO THE ASSISTANT COMMISSIONER FOR PATENTS

**Box Patent Application** Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:
ALTERATION OF CELLULAR BEHAVIOR BY ANTISENSE MODULATION OF mRNA PROCESSING
and invented by:
Bennett et al.
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

wa						
	Continuation	Divisional	X	Continuation-in-part (CIP)	of prior application No.:	09/167,921

Which is a: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

≅Enclosed are:

Which is a:

#### **Application Elements**

- Filing fee as calculated and transmitted as described below
- Specification having 116 pages and including the following:
  - Descriptive Title of the Invention
  - Cross References to Related Applications (if applicable)
  - c. 🔲 Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. 🔲 Reference to Microfiche Appendix (if applicable)
  - e. 🛚 🗷 Background of the Invention
  - f. 🛛 Brief Summary of the Invention
  - g. 🔀 Brief Description of the Drawings (if drawings filed)
  - h. 🔀 **Detailed Description**
  - i. 🔀 Claim(s) as Classified Below
  - j. 🛛 Abstract of the Disclosure

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	3.	×	Drawing(s) (when necessary as prescribed by 35 USC 113)								
		a.	☐ Formal b. ☑ Informal Number of Sheets4								
	<b>4</b> .	X	☑ Oath or Declaration								
		a.	☐ Newly executed (original or copy)								
		b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)								
		C.	☑ With Power of Attorney ☐ Without Power of Attorney								
		d.	d. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).								
	5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.								
	6.		Computer Program in Microfiche								
	7.	X	Genetic Sequence Submission (if applicable, all must be included)								
ă Ņ		a.	☑ Paper Copy								
á		b.	☑ Computer Readable Copy								
		c.	Statement Verifying Identical Paper and Computer Readable Copy								
		Accompanying Application Parts									
	8.	☐ Assignment Papers (cover sheet & documents)									
9.											
1	0.		English Translation Document (if applicable)								
1	1.		Information Disclosure Statement/PTO-1449   Copies of IDS Citations								
1:	2.		Preliminary Amendment								
1	3.	X	Acknowledgment postcard								
1	4.	X	Certificate of Mailing								
	_		First Class X Express Mail (Specify Label No.): EL777534076US								

**Application Elements (Continued)** 

## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. ISPH-0524

Total Pages in this Submission

		Accompanying Application Parts (Continued)
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.		Small Entity Statement(s) - Specify Number of Statements Submitted:
17.	×	Additional Enclosures (please identify below):  USPTO Credit Card Payment Form  Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
18.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice

was unintentional.

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### Fee Calculation and Transmittal

### **CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra		Rate	Fee
Total Claims	33	- 20 =	13	x	\$9.00	\$117.00
indep. Claims	1	- 3 =	0	x	\$40.00	\$0.00
Multiple Dependen	\$0.00					
# 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	\$355.00					
OTHER FEE (spe	\$0.00					
Harris College	\$472.00					

A check in the amount of

to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed.

50-1619

Charge the amount of

as filing fee.

- ☑ Credit any overpayment.
- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: December 12, 2000



26259

PATENT \_TRADEMARK OFFICE

Janany Lactz

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